

## **Contemporary Refining & Recycling Solutions Anti Money Laundering Program: USA PATRIOT Act Information Request Form**

Contemporary Refining respectfully requests the following information be completed and returned by fax, email or shipment to Contemporary Refining prior to conducting business with Contemporary Refining. The information requested is to ensure compliance of the USA PATRIOT Act, (Uniting and Strengthening America by Providing Appropriate Tools Required to Intercept and Obstruct Terrorism), codified under 31CFR Chapter X 1010.205 (b) effective January 1, 2006 and modified July 1, 2011.

The purpose of the AML program is for Contemporary Refining to know its customers. To do so, we will need you to complete the following form. We understand that we are asking for personal information and pledge to you that your information will be held in the strictest of confidence. The information will be used for the verification of identity, criminal background checks and to ensure that material received at Contemporary Refining is from legitimate sources. People who will see this information are only those directly involved in the AML program.

For questions regarding the AML program, please contact your Sales Representative or our USA PATRIOT Act Compliance Officer.

### ***General Business Information:***

Full Legal Business Name: \_\_\_\_\_

Business Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip Code: \_\_\_\_\_

Employer Identification Number: \_\_\_\_\_

Name of Owner/s: \_\_\_\_\_

Name of Owner/s: \_\_\_\_\_

***\*A government issued ID such as a driver's license or passport must be provided for each individual listed above.***

State of Incorporation: \_\_\_\_\_ Date of Incorporation: \_\_\_\_/\_\_\_\_/\_\_\_\_

Is the above business in compliance with the USA Patriot Act and its Anti-Money Laundering Program? Yes: \_\_\_\_\_ No: \_\_\_\_\_ Not Applicable: \_\_\_\_\_

Does the above business have an Anti-Money Laundering Program? Yes/No: \_\_\_\_\_

Does the above mentioned business import or export precious metals from outside the United States? If yes, please list the country and details of transaction:

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By signing this document the business requesting services hereby declares the following:

- ❖ It is the owner of the goods delivered to Contemporary Refining and the funds used in relation to the transaction with Contemporary Refining, if not the beneficial owner is

(Name, address, country):

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- ❖ It has taken all applicable measures to assure the material and the funds associated with the material have not originated from illegal activities;
  - ❖ It has taken all necessary measures to prevent the acquisition and trade of goods and/or funds that were obtained through or related to illegal activities, or that fund, promote or cover up illegal activities;
  - ❖ It has a due diligence process in place in order to ensure that the origin of the goods and or funds are legal:
  - ❖ It has acquired such goods and/or funds from persons identified as the legitimate owners and in compliance with applicable legal provisions;
  - ❖ All goods traded by the company are traded in compliance with the applicable laws;
  - ❖ If applicable, such goods have been exported and such funds wired in compliance with the

relevant legislation of their country of origin;

- ❖ It does not use child labor as defined in Convention 182 of the International Labor Organization or forced/compulsory labor to carry out any work service;
- ❖ It complies with applicable legal provisions and regulations regarding environmental protection and sustainable development;
- ❖ It complies with applicable tax provisions and regulations;
- ❖ It does not offer, promise or give any undue advantage, whether directly or through intermediaries, to a foreign public official or a private sector employee in order to obtain business or any other advantage.

Name of Legal Representative: \_\_\_\_\_

Signature of Legal Representative: \_\_\_\_\_

Title: \_\_\_\_\_

Date: \_\_\_\_/\_\_\_\_/\_\_\_\_

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